

## Disclosure of Complaints by Investment Advisers

(As required under SEBI Circular SEBI/HO/IMD/IMD-II CIS/P/CIR/2021/0686, Dated: 13th Dec 2021)

Complaints data for **Caspian Impact Investment Adviser Private Limited** for the month ended: **October 31<sup>st</sup>, 2024**

### 1. Investor complaints data for the month ended October 31<sup>st</sup>, 2024

S. No	Investor Complaints received from	At the beginning of the Quarter	Received During this Quarter	Resolved During this Quarter	Total Pending at the end of the quarter	Pending complaints > 3 months	Average Resolution time (in days)
1	Directly from Investors	Nil	Nil	Not applicable	Nil	Nil	Not applicable
2	SEBI (SCORES)	Nil	Nil	Not applicable	Nil	Nil	Not applicable
3	Other Sources (if any)	Nil	Nil	Not applicable	Nil	Nil	Not applicable
	<b>Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Not applicable</b>	<b>Nil</b>	<b>Nil</b>	<b>Not applicable</b>

### 2. Trend of Monthly Disposal of Complaints

S. No	FY	Carried forward from previous month	Received	Resolved	Pending
1	July 2024	Nil	Nil	Not Applicable	Nil
2	August 2024	Nil	Nil	Not Applicable	Nil
3	September 2024	Nil	Nil	Not Applicable	Nil
4	October 2024	Nil	Nil	Not Applicable	Nil
	<b>Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Not applicable</b>	<b>Nil</b>

### 3. Trend of annual disposal of complaints (FY)

S. No	FY	At the beginning of the FY	Received During this year	Resolved During this year	Pending at the end of FY
1	2019-20	Nil	Nil	Not applicable	Nil
2	2020-21	Nil	Nil	Not applicable	Nil
3	2021-22	Nil	Nil	Not applicable	Nil
4	2022-23	Nil	Nil	Not applicable	Nil
5	2023-24	Nil	Nil	Not applicable	Nil
6	2024-25	Nil	Nil	Not applicable	Nil
	<b>Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Not applicable</b>	<b>Nil</b>