

Disclosure of Complaints by Alternative Investment Funds

(As required under SEBI Circular SEBI/HO/IMD/IMD-I/DOF9/P/CIR/2021/682, Dated: 10th Dec 2021)

Complaints data for **Caspian SME Impact Fund IV** for the quarter ended: **September 30, 2024**.

1. Investor complaints data for the quarter ended September 30, 2024.

S. No	Investor Complaints received from	At the beginning of the Quarter	Received During this Quarter	Resolved During this Quarter	Total Pending at the end of the quarter	Pending complaints > 3 months	Average Resolution time (in days)
1	Directly from Investors	Nil	Nil	Not applicable	Nil	Nil	Not applicable
2	SEBI (SCORES)	Nil	Nil	Not applicable	Nil	Nil	Not applicable
3	Other Sources (if any)	Nil	Nil	Not applicable	Nil	Nil	Not applicable
	Total	Nil	Nil	Not applicable	Nil	Nil	Not applicable

2. Investor complaints data for last three Financial Years (FY)

S. No	FY	At the beginning of the FY	Received During this year	Resolved During this year	Pending at the end of FY
1	2022-23	Nil	Nil	Not applicable	Nil
2	2023-24	Nil	Nil	Not applicable	Nil
3	2024-25	Nil	Nil	Not applicable	Nil
	Total	Nil	Nil	Not applicable	Nil