

## Form No. MGT-9

## EXTRACT OF ANNUAL RETURN

As on the financial year ended on March 31, 2022

[Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

## I. REGISTRATION AND OTHER DETAILS:

- i) CIN:-U74140TG2004PTC044762  
 ii) Registration Date: December 02, 2004  
 iii) Name of the Company: Caspian impact investment Adviser Private Limited  
 iv) Category/ Sub Category of the Company: Company limited by Shares/ Non Govt Company  
 v) Address of the Registered office and contact details  
 4<sup>th</sup> Floor, Ventureast Plaza, Plot No. 40 & 41, Financial District, Gachibowli – 500032, Telangana, India  
 Contact No: +9140-66297100  
 vi) Whether listed Company Yes/No  
 vii) Name, Address and Contact details of Registrar and Transfer Agent, if any –  
Equity shares which are held in demat:  
 Link Intime India Private Limited  
 C-13, Pannalal Silk Mills, Compound LBS Marg,  
 Bhandup (West),  
 Mumbai – 400078, Maharashtra, India  
 Tel: +91 22 25963838

## II. PRINCIPAL BUSINESS ACTIVITY OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the Company shall be stated:

Sl. No.	Name and Description of main products/services	NIC Code of the Product/Service	% to total turnover of the Company
1.	Caspian Impact Investment Adviser Private Limited is an investment advisory firm that invests capital in socially responsible, multiple bottom line businesses delivering financial and social value	70200	97.58%

S/S



**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANY**

[No. Of Companies for which information is being filled]

S. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary /Associate	% of shares held	Applicable Section
1.	Aarusha Homes Private Limited Address: Flat No.T2, Third Floor, Chandra Residency, Plot No. 36, Situated at W.C.H Society, Road No.2, Jubilee Hills, Hyderabad - 500033	U55109TG2007PTC085020	Subsidiary	76%	2(87)(ii)

SKS

**IV. SHAREHOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)**

**(i) Category-wise Shareholding**

Category of Shareholders	No. Of shares held at the beginning of the year				No. Of shares held at the end of the year				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
<b>(1) Indian</b>									
<b>(a) Individual/ HUF</b>	-	-	-	-	-	-	-	-	-
<b>(b) Central Govt.</b>	-	-	-	-	-	-	-	-	-
<b>(c) State Govt.</b>	-	-	-	-	-	-	-	-	-
<b>(d) Bodies Corp.</b>	-	-	-	-	-	-	-	-	-
<b>(e) Banks/FI</b>	-	-	-	-	-	-	-	-	-
<b>(f) Any Other Trust</b>	9,900	-	9,900	81.28	10,000	-	10,000	82.10	0.82
<b>Sub-total</b>									
<b>(A)(1):-</b>	<b>9,900</b>	<b>-</b>	<b>9,900</b>	<b>81.28</b>	<b>10,000</b>	<b>-</b>	<b>10,000</b>	<b>82.10</b>	<b>0.82</b>
<b>(2) Foreign</b>									
<b>(a) NRIs - Individuals</b>	-	-	-	-	-	-	-	-	-
<b>(b) Other - Individuals</b>	-	-	-	-	-	-	-	-	-
<b>(c) Bodies Corp.</b>	-	-	-	-	-	-	-	-	-
<b>(d) Banks/FI</b>	-	-	-	-	-	-	-	-	-
<b>(e) Any Other.....</b>	-	-	-	-	-	-	-	-	-
<b>Sub-total</b>									
<b>(A)(2):-</b>									

SKS

AS



<b>Total Shareholding of Promoter (A) = (A)(1)+(A)(2)</b>	9,900	-	9,900	81.28	10,000	-	10,000	81.28	0.82
<b>B. Public Shareholding</b>									
<b>1. Institutions</b>	-	-	-	-	-	-	-	-	-
(a) Mutual Funds									
(b) Banks/FI									
(c) Central Govt.									
(d) State Govt(s)									
(e) Venture Capital Funds									
(f) Insurance Companies									
(g) FIIs									
(h) Foreign Venture Capital Funds									
(i) Others (specify)									
<b>Sub-total (B)(1):-</b>	-	-	-	-	-	-	-	-	-
<b>2. Non-Institutions</b>									
(a) Bodies Corp.									
(i) Indian	-	-	-	-	-	-	-	-	-
(ii) Overseas	-	2,180	2,180	17.90	-	2,180	2,180	17.90	-
(b) Individuals									
(i) Individual shareholders holding nominal share capital upto Rs. 1 Lakh	-	100	100	0.82	-	-	-	-	-0.82

[10]

4



(ii) Individual shareholders holding nominal share capital in excess of Rs. 1 Lakh	-	-	-	-	-	-	-	-	-
(c) Others (specify)	-	-	-	-	-	-	-	-	-
<b>Sub-total (B)(2):-</b>	-	<b>2,280</b>	<b>2,280</b>	<b>18.72</b>	-	<b>2,180</b>	<b>2,180</b>	<b>17.90</b>	<b>-0.82</b>
Total Public Shareholding (B) = (B)(1)+(B)(2)	-	<b>2,280</b>	<b>2,280</b>	<b>18.72</b>	-	<b>2,180</b>	<b>2,180</b>	<b>17.90</b>	<b>-0.82</b>
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	-	-	-	-	-	-	-	-	-
<b>Grand Total (A+B+C)</b>	<b>9,900</b>	<b>2,280</b>	<b>12,180</b>	<b>100%</b>	<b>10,000</b>	<b>2,180</b>	<b>12,180</b>	<b>100%</b>	<b>-</b>

**B. Shareholding of Promoters -**

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in Share holding during the year
		No. of shares	% of total shares of the company	% of shares Pledged/encumbered to total shares	No. of shares	% of total shares of the company	% of shares Pledged/encumbered to total shares	
1	Prasad Family Private Trust; Trustees - S Viswanatha Prasad and Shilpa Sudhakar; Beneficial Owner : S Viswanatha	9,900	81.28	-	10,000	82.1	-	0.82

*S/S*

*S*





**C. Change in Promoter's Shareholding (please specify, if there is no change) -**

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
	At the beginning of the year	9,900	81.28		
	Data wise Increase/Decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc):			100	0.82
	At the end of the year			10,000	82.1

**D. Shareholding Pattern of top ten Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs):**

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
	For each of the top 10 shareholders				
	At the beginning of the year	Appendix A			
	Data wise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc): Allotment				
	At the end of the year ( or on the date of separation, if separated during the year)				

*SKT*

*S*



**E. Shareholding of Directors and Key Managerial Personnel:**

Sl. No.	For each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
	At the beginning of the year 1) Mr. Viswanatha Prasad Subbaraman 2) Mr. Bulusu Venkata Narasimham	- -	- -		
	Data wise Increase/Decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc): At the end of the year 1)Mr. Viswanatha Prasad Subbaraman 2)Mr. Bulusu Venkata Narasimham 3)Mr. Saurabh Kumar Johri	- - -		- -	- -

SKJ

*[Handwritten signature]*



**F. INDEBTEDNESS****Indebtedness of the Company including interest outstanding/accrued but not due for payment**

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	4,41,67,468	-	-	4,41,67,468
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	<b>4,41,67,468</b>	<b>-</b>	<b>-</b>	<b>4,41,67,468</b>
<b>Change in Indebtedness during the financial year</b>				
• Addition	37,95,92,503	-	-	37,95,92,503
• Reduction	42,12,18,436	-	-	42,12,18,436
<b>Net Change</b>	<b>-4,16,25,933</b>	<b>-</b>	<b>-</b>	<b>-4,16,25,933</b>
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	25,41,535	-	-	25,41,535
ii) Interest due but not paid	Nil	-	-	Nil
iii) Interest accrued but not due	Nil	-	-	Nil
<b>Total (i+ii+iii)</b>	<b>25,41,535</b>	<b>-</b>	<b>-</b>	<b>25,41,535</b>

SKJ



V. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole Time Director and/or Manager:

Sl. No.	Particulars of Remuneration	Name of MD/WTD/Manager			Total Amount
		Mr. Viswanatha Prasad Subraman (Managing Director)	Mr. Bulusu Venkata Narasimham (Director)	Mr. Saurabh Kumar Johri (Whole Time Director)	
1	Gross Salary	66,66,000	-	19,59,369	86,25,369
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961				
	(b) Value of perquisites u/s. 17(2) Income Tax Act, 1961				
	(c) Profits in lieu of salary under section 17(3) Income Tax Act, 1961				
2	Professional Fee	-	32,25,000	-	32,25,000
3	Stock Option	-	-	-	-
4	Sweat Equity	-	-	-	-
5	Commission - As % of profit - Others, specify.....	-	-	-	-
6	Others, please specify Sitting Fee	40,000	40,000	10,000	90,000
	Total (A)				
	Ceiling as per the Act	NA	NA	NA	NA

SJS





B. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD: Nil

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary	CFO	Total
1	Gross Salary (a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961 (b) Value of perquisites u/s. 17(2) of Income Tax Act, 1961 (c) Profits in lieu of Salary under section 17(3) of Income Tax Act, 1961				
2	Stock Option				
3	Sweat Equity				
4	Commission - As % of profit - Others, specify .....				
5	Others, Please specify				
	Total				

SKT

*[Handwritten signature]*



VI. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES: Nil

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority [RD/NCLT/COURT]	Appeal made, if any (give details)
<b>A. COMPANY</b>					
Penalty					
Punishment					
Compounding					
<b>B. DIRECTORS</b>					
Penalty					
Punishment					
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty					
Punishment					
Compounding					

For and on behalf of the Board of Directors

*S.K. Johri*

Saurabh Kumar Johri  
Whole Time Director  
DIN: 08469704

*B.V. Narasimham*

Bulusu Venkata Narasimham  
Director  
DIN: 00061629

Place: Hyderabad  
Date: June 30, 2022



**Appendix A**

**Shareholding Pattern as on March 31, 2022 (Top 10 shareholders other than directors, promoters, GDRs and ADRs)**

Sl. No.	Shareholders	No. of Shares	% of total shares of the Company	Remarks
1	VGS Holdings Limited	2,180	17.90	-

**For and on behalf of the Board of Directors**

*S. K. Johri*

**Saurabh Kumar Johri**  
Whole Time Director  
DIN: 08469704

*B. V. Narasimham*

**Bulusu Venkata Narasimham**  
Director  
DIN: 00061629

Place: Hyderabad  
Date: June 30, 2022

